

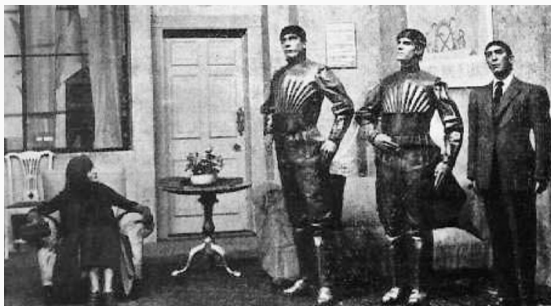
BALANCING LEGGED ROBOTS

Stéphane Caron

December 16, 2023

Inria, École normale supérieure

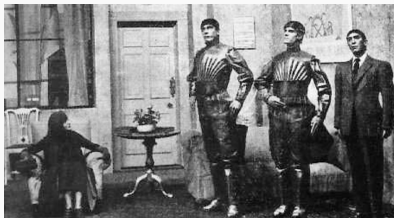
FOREWORD



Rossumovi Univerzální Roboti, Karel Čapek, 1921.

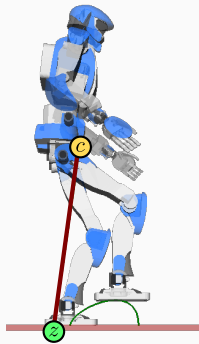


DARPA Robotics Challenge, Finals, 2015.

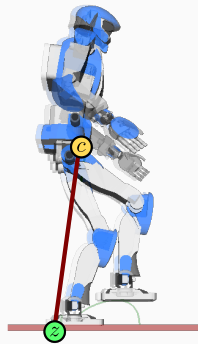


¹Emanuel Todorov. "Goal directed dynamics". In: *2018 IEEE International Conference on Robotics and Automation*. 2018, pp. 2994–3000.

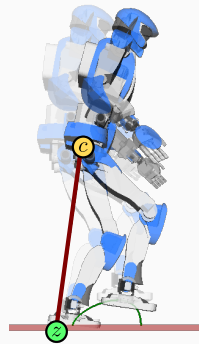
PHYSICS OF BALANCING



Plan($t + \Delta t$)



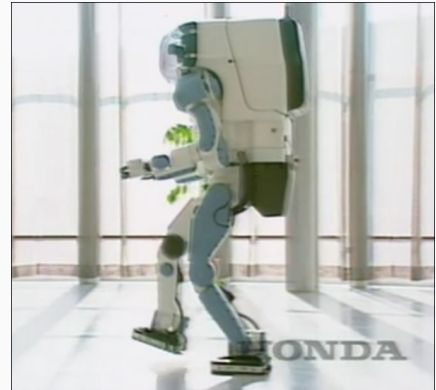
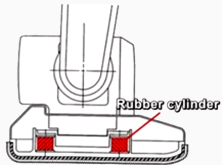
Simulation($t + \Delta t$)



Real($t + \Delta t$)

Public demonstration in 1998:

- “Zero” Moment Point (ZMP) control
- Ground reaction force control
- Impact absorption:



²Kazuo Hirai, Masato Hirose, Yuji Haikawa, and Toru Takenaka. “The development of Honda humanoid robot”. In: *IEEE International Conference on Robotics and Automation*. 1998.

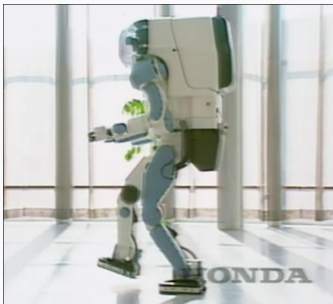


Figure 1: Honda P2 walking

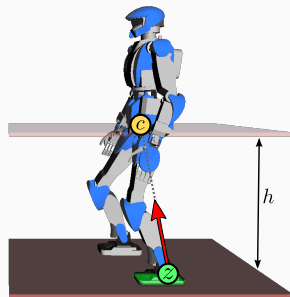


Figure 2: Model it relies on

Whole-body dynamics:

$$M\ddot{q} + N = S^T \tau + J^T f$$

Actuated joints / floating base:

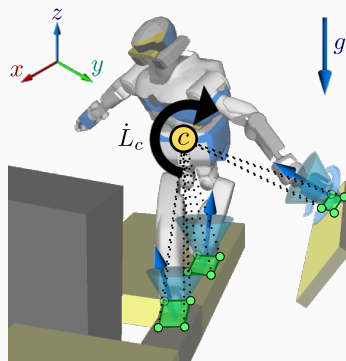
$$M_a \ddot{q} + N_a = \tau + J_a^T f$$

$$M_u \ddot{q} + N_u = J_u^T f$$

Centroidal dynamics:

$$\ddot{c} = g + \frac{1}{m} \sum_{i \in \text{contacts}} f_i$$

$$\dot{L}_c = \sum_{i \in \text{contacts}} (p_i - c) \times f_i$$



³Hervé Audren, Joris Vaillant, Abderrahmane Kheddar, Adrien Escande, Kenji Kaneko, and Eiichi Yoshida. “Model preview control in multi-contact motion-application to a humanoid robot”. In: *IEEE/RSJ International Conference on Intelligent Robots and Systems*. 2014.

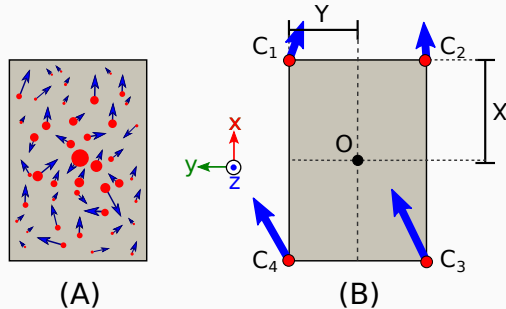


Figure 3: (A) Continuous shearing/pressure distribution under a contact surface. (B) Equivalent discrete force distribution, *i.e.* producing the same wrench.

⁴Stéphane Caron, Quang-Cuong Pham, and Yoshihiko Nakamura. "Stability of Surface Contacts for Humanoid Robots: Closed-Form Formulae of the Contact Wrench Cone for Rectangular Support Areas". In: *IEEE International Conference on Robotics and Automation*. 2015.

Zero-tilting Moment Point

The **center of pressure (CoP)** is the point p on the contact surface where the resultant of *pressure* forces is applied:

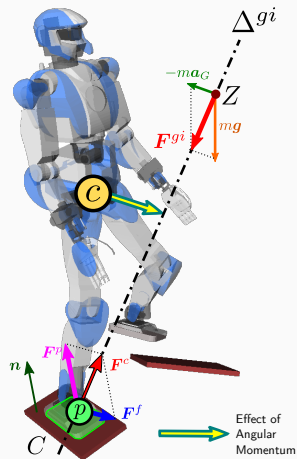
$$p = \int_{(x,y) \in S} \begin{bmatrix} x \\ y \end{bmatrix} f_z(x,y) dx dy$$

Zero-tilting Moment Point (ZMP)

The ZMP is a point where the moment of the contact wrench is aligned with the contact normal n .

The ZMP axis intersects the contact surface at the CoP.

Informally, the net contact force is applied at the ZMP.



⁵P. Sardain and G. Bessonnet. "Forces acting on a biped robot. center of pressure-zero moment point". In: *IEEE Transactions on Systems, Man and Cybernetics, Part A: Systems and Humans* 34.5 (2004).

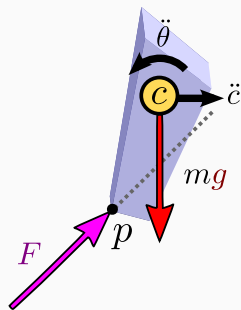


Figure 4: Net contact force does not go through CoM c
 $\Rightarrow \dot{L}_c = I\ddot{\theta} > 0$, body rotates and translates.

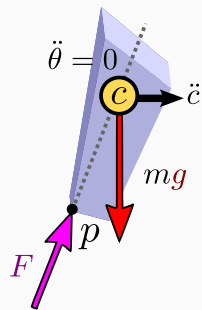


Figure 5: Net contact force goes through CoM c
 $\Rightarrow \dot{L}_c = 0$, body translates but does not rotate.

Bottom line

A constant angular momentum reduces the system to translation

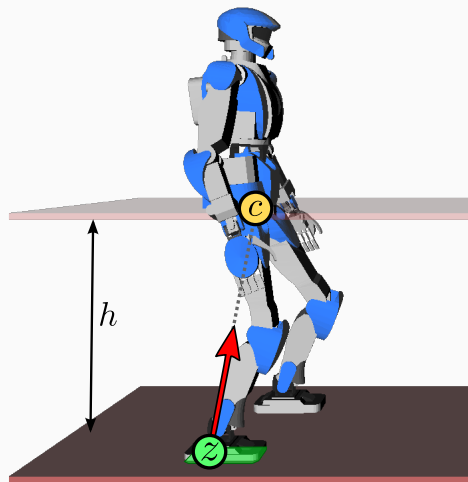
Assumptions:

- Rigid joints, sufficient power
- Conservation of angular momentum
- Constant CoM height

Equation of motion

$$\ddot{c} = \omega^2(c - z) + g$$

- ω is a constant
- z : zero-tilting moment point (ZMP)
- In horiz. plane $+g$ is usually omitted



⁶Shuuji Kajita, Fumio Kanehiro, Kenji Kaneko, Kazuhito Yokoi, and Hirohisa Hirukawa. "The 3D Linear Inverted Pendulum Mode: A simple modeling for a biped walking pattern generation". In: *IEEE/RSJ International Conference on Intelligent Robots and Systems*. 2001.

Equations and assumptions we have seen/made so far:

- Centroidal dynamics:

$$\ddot{c} = g + \frac{1}{m} \sum_{i \in \text{contacts}} f_i \qquad \dot{L}_c = \sum_{i \in \text{contacts}} (p_i - c) \times f_i$$

- Zero-tilting moment point:

$$\tau_z \times e_z = \left[\sum_{i \in \text{contacts}} (p_i - z) \times f_i \right] \times e_z = 0$$

- Constant height: $c \cdot e_z = h$
- Angular momentum: $\dot{L}_c = 0$

Question

Derive the LIP equation $\ddot{c} = \omega^2(c - z) + g$. What is the expression of ω ?

The ZMP should lie in a support area:

$$z_{\min} \leq z \leq z_{\max}$$

If not, the surface contact will break into a line or a point contact.

On a flat floor and under large friction, the ZMP support area is simply the convex hull of ground contact points.⁷

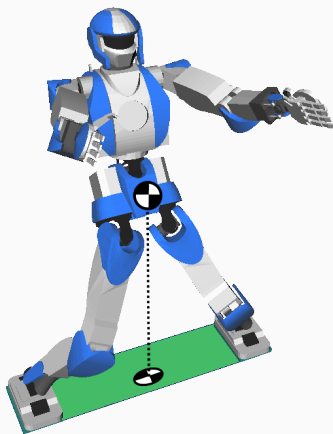


Figure 6: ZMP support area under large floor friction.

⁷This construction does not generalize to arbitrary contacts.

The ZMP should lie in a support area:

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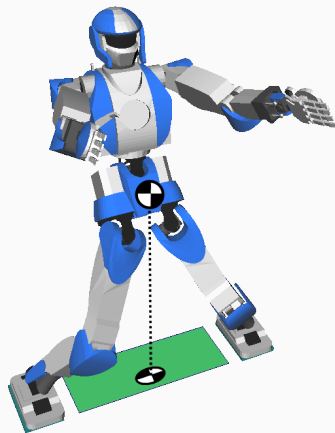


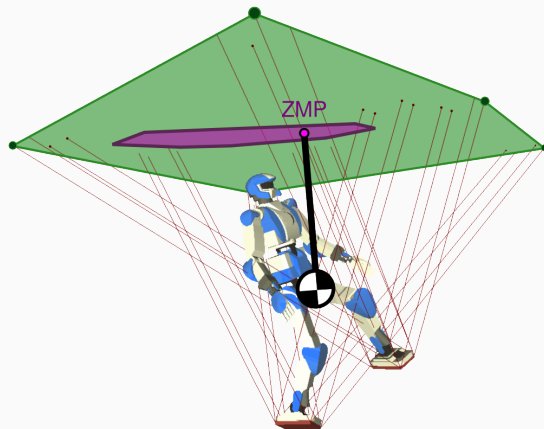
Figure 6: ZMP support area under limited floor friction.

⁷This construction does not generalize to arbitrary contacts.

The ZMP support area can always be computed by polyhedral geometry:

$$Gz \leq h$$

where G and h depend on the location, geometry and friction of contact surfaces.



⁸Stéphane Caron, Quang-Cuong Pham, and Yoshihiko Nakamura. "ZMP Support Areas for Multi-contact Mobility Under Frictional Constraints". In: *IEEE Transactions on Robotics* 33.1 (2017).

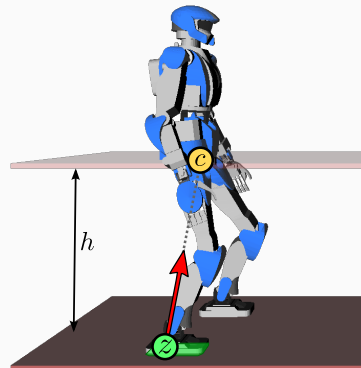
OPTIMAL CONTROL FOR WALKING

Continuous-time system:

- **Equation of motion:** $\ddot{c} = \omega^2(c - z) + g$
- **Constraints:** $Gz \leq h$

Problems:

- **Controlability:** find $z(t)$ such that $c(t) \rightarrow c^*$
- **Optimal control:** find $z \in \arg \min_z \int_0^T \ell(c, \dot{c}, z) dt$



- Discretize time into steps t_k such that $t_{k+1} = t_k + T$
- Notation: $v[k] = v(t_k)$ for any quantity v
- Let's decide \ddot{c} is piecewise constant:

$$c[k+1] = c[k] + T\dot{c}[k] + \frac{T^2}{2}\ddot{c}[k] + \frac{T^3}{6}\ddot{\ddot{c}}[k]$$

$$\dot{c}[k+1] = \dot{c}[k] + T\ddot{c}[k] + \frac{T^2}{2}\ddot{\ddot{c}}[k]$$

$$\ddot{c}[k+1] = \ddot{c}[k] + T\ddot{\ddot{c}}[k]$$

- Define the **state** $x[k] := \begin{bmatrix} c[k] \\ \dot{c}[k] \\ \ddot{c}[k] \end{bmatrix}$ and **input** $u[k] := \begin{bmatrix} \ddot{\ddot{c}}[k] \end{bmatrix}$
- **Linear time-invariant system:** $x[k+1] = Ax[k] + Bu[k]$

Looking at the first few steps:

$$x[1] = Ax[0] + Bu[0]$$

$$x[2] = A^2x[0] + [AB \ B][u[0]^T \ u[1]^T]^T$$

$$x[3] = A^3x[0] + [A^2B \ AB \ B][u[0]^T \ u[1]^T \ u[2]^T]^T$$

Define the state and input trajectory vectors:

$$X = \begin{bmatrix} x[0] \\ \vdots \\ x[N] \end{bmatrix} \quad U = \begin{bmatrix} u[0] \\ \vdots \\ u[N-1] \end{bmatrix}$$

Then we have $X = \Phi x[0] + \Psi U$ with:

$$\Phi = \begin{bmatrix} I \\ A \\ A^2 \\ \vdots \\ A^N \end{bmatrix} \quad \Psi = \begin{bmatrix} 0 & 0 & \cdots & 0 \\ B & 0 & \cdots & 0 \\ AB & B & \ddots & \vdots \\ \vdots & \vdots & \ddots & \vdots \\ A^{N-1}B & A^{N-2}B & \cdots & B \end{bmatrix}$$

This is known as the *condensing algorithm* [BP84].

Continuous-time system:

- **Dynamics:** $\ddot{c} = \omega^2(c - z) + g$
- **Constraints:** $Gz \leq h$

We can write equivalently:

- **Dynamics:** $z[k] = c[k] - \frac{\ddot{c}[k]}{\omega^2} = Z_k X = Z_k \Phi x[0] + Z_k \Psi U$
- **Feasibility:** $z_{\min}[k] \leq z[k] \leq z_{\max}[k]$

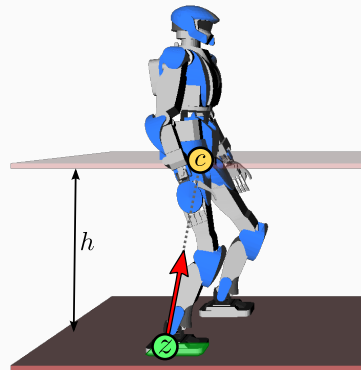
Our whole trajectory is linearly defined in U !

Continuous-time system:

- **Equation of motion:** $\ddot{c} = \omega^2(c - z) + g$
- **Constraints:** $Gz \leq h$

Problems:

- **Controllability:** find $z(t)$ such that $c(t) \rightarrow c^*$
- **Optimal control:** find $z \in \arg \min_z \int_0^T \ell(c, \dot{c}, z) dt$



A quadratic program can be generally written as:

$$\begin{aligned} & \underset{x}{\text{minimize}} && \frac{1}{2}x^T Px + q^T x \\ & \text{subject to} && Gx \leq h \\ & && Ax = b \\ & && lb \leq x \leq ub \end{aligned}$$

In Python:

```
from qpsolvers import solve_qp

M = np.array([[1., 2., 0.], [-8., 3., 2.], [0., 1., 1.]])
P = M.T @ M # this is a positive definite matrix
q = np.array([3., 2., 3.]) @ M
G = np.array([[1., 2., 1.], [2., 0., 1.], [-1., 2., -1.]])
h = np.array([3., 2., -2.])

x = solve_qp(P, q, G, h, solver="proxqp")
```

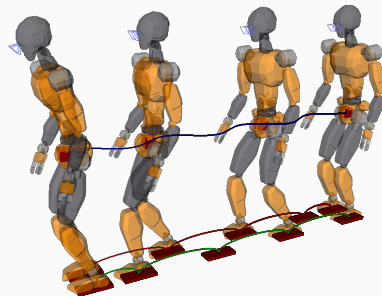
Setup: `pip install qpsolvers[open_source_solvers]`

Cost function

- Track desired ZMP reference
- Track desired CoM velocity
- Minimize CoM jerk

Constraints

- Transition: dynamics
- Feasibility: ZMP in support area
- (Viability: terminal DCM)



⁹Pierre-Brice Wieber. "Trajectory free linear model predictive control for stable walking in the presence of strong perturbations". In: *IEEE-RAS International Conference on Humanoid Robots*. 2006.

$$\begin{aligned} \min_U \quad & w_z \sum_{k=1}^N \|z[k] - z^d[k]\|^2 + w_v \sum_{k=1}^N \|\dot{c}[k] - \dot{c}^d[k]\|^2 + w_j \sum_{k=1}^N \|u[k]\|^2 \\ \text{s.t. } \forall k \quad & c[k+1] = c[k] + T\dot{c}[k] + \frac{T^2}{2}\ddot{c}[k] + \frac{T^3}{6}u[k] \\ & \dot{c}[k+1] = \dot{c}[k] + T\ddot{c}[k] + \frac{T^2}{2}u[k] \\ & \ddot{c}[k+1] = \ddot{c}[k] + Tu[k] \\ \text{Dynamics: } & z[k] = c[k] - \frac{\ddot{c}[k]}{\omega^2} \\ \text{Feasibility: } & z_{\min}[k] \leq z[k] \leq z_{\max}[k] \\ \text{(Viability: } & c[N] + \frac{\dot{c}[N]}{\omega} = \xi^d[N]) \end{aligned}$$

¹⁰Pierre-Brice Wieber. "Trajectory free linear model predictive control for stable walking in the presence of strong perturbations". In: *IEEE-RAS International Conference on Humanoid Robots*. 2006.

LIPM walking controller¹ = revisit Kajita *et al.* with QPs:

- **QP1:** linear model predictive control (ups [Kaj+03])
- **QP2:** wrench distribution (ups [Kaj+10])
- **QP3:** inverse kinematics (ups [Kaj+10])

Two consequences:

- Explicit cost functions
- Behavior switches on constraint saturation

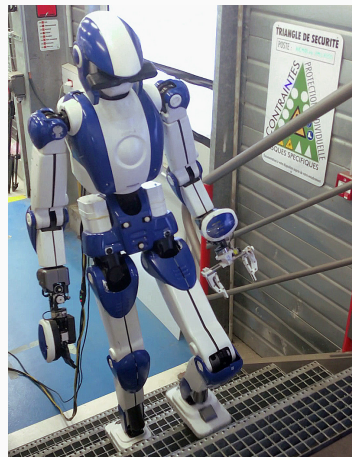
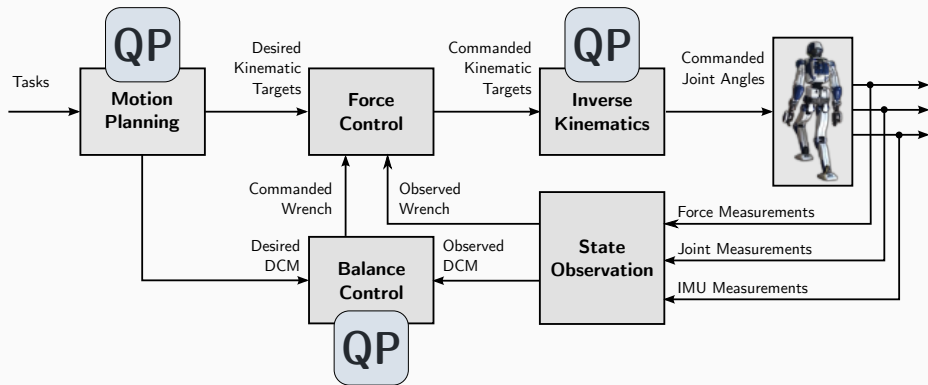


Figure 7: Stair climbing at Airbus

¹¹Stéphane Caron, Abderrahmane Kheddar, and Olivier Tempier. “Stair Climbing Stabilization of the HRP-4 Humanoid Robot using Whole-body Admittance Control”. In: *IEEE International Conference on Robotics and Automation*. May 2019.

LIPM walking controller pipeline



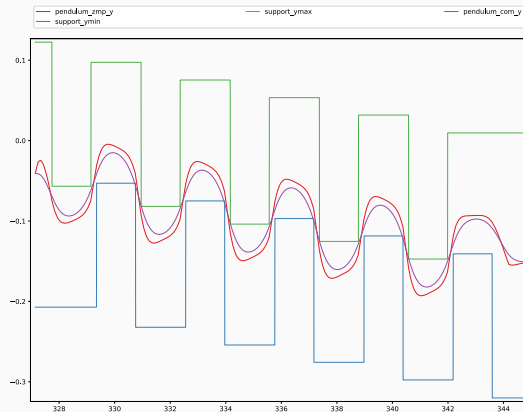


Figure 8: Horizontal axis for time, vertical axis for lateral coordinates c_y , z_y , z_{\min} , z_{\max} .

Lateral walking plan and measurements

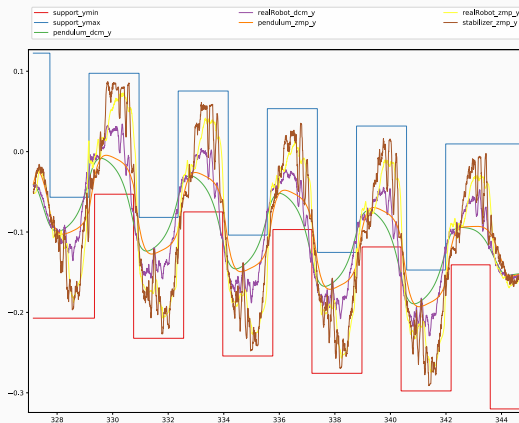
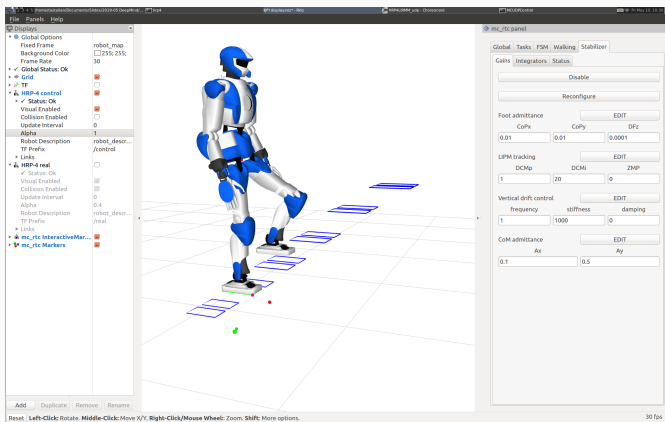


Figure 9: Horizontal axis for time, vertical axis for lateral coordinates c_y , z_y , z_{min} , z_{max} .

Try it out in a Docker!



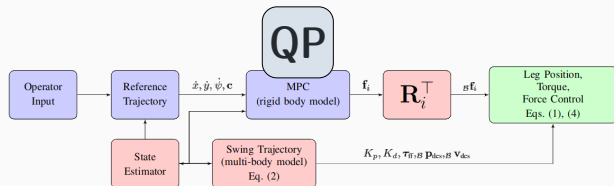
```
$ xhost + # for X11 forwarding
$ docker run -it --rm --user ayumi -e DISPLAY=${DISPLAY} \
-v /tmp/.X11-unix:/tmp/.X11-unix:rw \
stephanearon/lipm_walking_controller \
lipm_walking --staircase
```




Figure 10: Humanoid, centroidal dynamics



Figure 11: Quadruped, centroidal dynamics



Linearize model predictive control QP:

- **Cost:** trajectory tracking + input regularization
- **Equality constraints:** no force on swing feet
- **Inequality constraints:** friction cones

Dynamic Locomotion in the MIT Cheetah 3 Through Convex Model-Predictive Control

Jared Di Carlo¹, Patrick M. Wensing², Benjamin Katz³, Gerardo Bledd^{1,3}, and Sangbae Kim¹



Fig. 1. The MIT Cheetah 3 Robot gaiting at 25 m/s.

Abstract—This paper presents an implementation of model predictive control (MPC) to determine ground reaction forces for a torque-controlled quadruped robot. The robot dynamics are simplified to formulate the problem as convex optimization while still capturing the full 3D nature of the system. With the simplified model, ground reaction force planning problems are formulated for prediction horizons of up to 0.5 seconds, and are solved to optimality in under 1 ms at a rate of 20-30 Hz. Despite using a simplified model, the robot is capable of robust locomotion at a variety of speeds. Experimental results demonstrate control of gaits including stand, trot, flying trot, prout, bound, pace, a “stepped gait,” and a full 3D gait. The robot achieved forward speeds of up to 3 m/s, lateral speeds up to 3 m/s, and angular speeds up to 180 degrees. Our approach is general enough to perform all these behaviors with the same set of gains and weights.

I. INTRODUCTION

Control of highly dynamic legged robots is a challenging problem due to the underactuation of the body during many gaits and due to constraints placed on ground reaction forces. As an example, during dynamic gaits* such as bounding or galloping, the body of the robot is always underactuated. Additionally, ground reaction forces must always remain in a friction cone to avoid slipping. Current solutions for highly dynamic locomotion include heuristic controllers for hopping and bounding [1], which are effective, but difficult to tune; two-dimensional planar simplifications [2], which are only applicable for gaits without lateral or roll dynamics; and evolutionary optimization for galloping [3], which cannot currently be solved fast enough for online use. Recent results on hardware include execution of bounding limit cycles discovered offline with H2Q [4] and learned prouting, trotting, and bounding gaits on StateETH [5].

Predictive control can stabilize these dynamic gaits by anticipating periods of flight or underactuation, but is difficult to solve due to the nonlinear dynamics of legged robots and the large number of states and control inputs. Nonlinear optimization has been shown to be effective for predictive control of hopping robots [6], humanoids [7], [8], and quadrupeds [9], with [9] demonstrating the utility of heuristics to regularize the problem. Another common approach is to use both a high-level planner, such as in [10], [11] and a lower level controller to track the plan. More

recently, the experimental results in [12] show that whole-body nonlinear MPC can be used to stabilize trotting and jumping.

The stabilization of the quadruped robot H2Q using convex optimization discussed in [13] demonstrates the utility of convex optimization, but the approach cannot be immediately extended to dynamic gaits due to the quasi-static simplifications made to the robot model. Similarly, in bipedal locomotion, convex optimization has been used to find the best forces to satisfy instantaneous dynamics requirements [14] and to plan footsteps with the linear inverted pendulum model [15] but the latter approach does not include orientation in the predictive model.

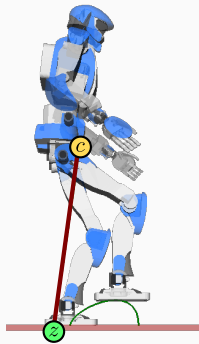
While galloping is well studied in the field of biology [16], [17], surprisingly few hardware implementations of galloping exist. The first robot to demonstrate galloping was the underactuated quadruped robot Scout II [18], which reached 1.3 m/s, but had limited control of yaw. The MIT Cheetah 3 robot [19] achieved high-speed galloping, but was constrained to a plane. To the best of our knowledge, the only previous implementation of a fully 3D gait with yaw control is on the hydraulically actuated WildCat robot [20], developed by Boston Dynamics. Unfortunately, no specific details about WildCat or its control system have been published.

The main contribution of this paper is a predictive controller which stabilizes a large number of gaits, including those with complex orientation dynamics. On hardware, we achieved a maximum yaw rate of 180 degrees and a maximum linear velocity of 3.0 m/s during a fully 3D gait, which we believe to be the fastest gait of an electrically actuated robot, and the fastest angular velocity of any legged robot similar in scale to Cheetah 3. Our controller can be formulated as a single convex optimization problem which consists of a 3D, 12 DoF model of the robot. The solution of

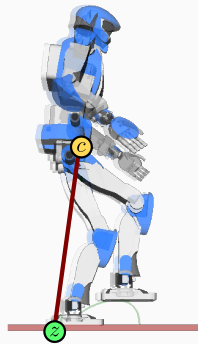
This work was supported by the National Science Foundation (NSF-15-13807) and the Air Force Office of Scientific Research (AFOSR-14-0042).

¹²Jared Di Carlo, Patrick M Wensing, Benjamin Katz, Gerardo Bledd, and Sangbae Kim. “Dynamic locomotion in the mit cheetah 3 through convex model-predictive control”. In: *IEEE/RSJ international conference on intelligent robots and systems*. 2018.

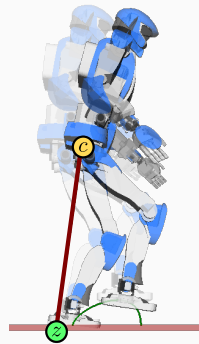
BALANCE CONTROL



Plan($t + \Delta t$)



Simulation($t + \Delta t$)



Real($t + \Delta t$)

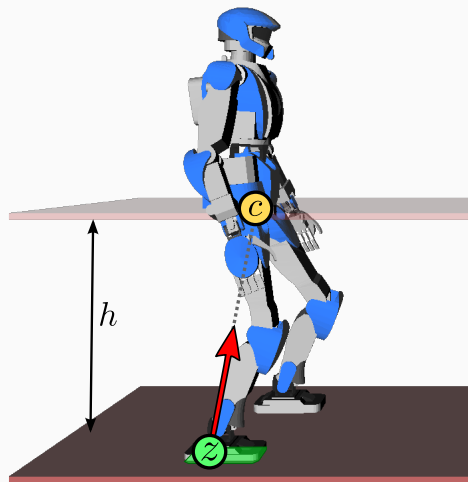
Assumptions:

- Rigid joints, sufficient power
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Equation of motion

$$\ddot{c} = \omega^2(c - z) + g$$

- ω is a constant
- z : zero-tilting moment point (ZMP)
- In horiz. plane $+g$ is usually omitted



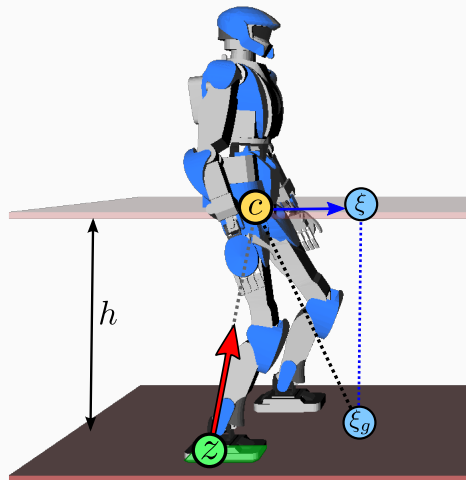
¹³Shuuji Kajita, Fumio Kanehiro, Kenji Kaneko, Kazuhito Yokoi, and Hirohisa Hirukawa. "The 3D Linear Inverted Pendulum Mode: A simple modeling for a biped walking pattern generation". In: *IEEE/RSJ International Conference on Intelligent Robots and Systems*. 2001.

- Linear inverted pendulum mode: $\ddot{c} = \omega^2(c - p)$
- Divergent component of motion: $\xi := c + \frac{\dot{c}}{\omega}$

DCM dynamics

$$\dot{\xi} = \omega(\xi - p)$$

- Point ξ_g where stepping will stop walking



- DCM dynamics:

$$\dot{\xi} = \omega(\xi - z)$$

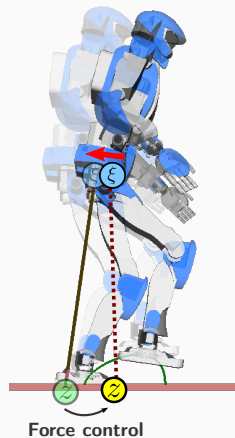
- Regulate the ZMP by **force control**:

$$z = z^d + \xi - k(\xi^d - \xi)$$

- Closed loop: $\xi \rightarrow \xi^d$

$$\dot{\xi} = k\omega(\xi^d - \xi)$$

- As long as the ZMP target is feasible...



- DCM dynamics:

$$\dot{\xi} = \omega(\xi - z)$$

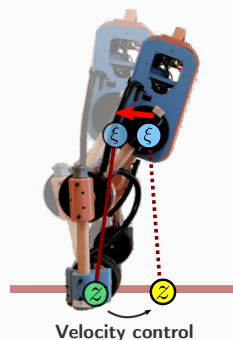
- Regulate the ZMP by **velocity control**:

$$z = z^d + \xi - k(\xi^d - \xi)$$

- Closed loop: $\xi \rightarrow \xi^d$

$$\dot{\xi} = k\omega(\xi^d - \xi)$$

- As long as the ZMP target is feasible...



WHAT DID WE SEE?

Balancing and walking legged robots:

- **Physics:** linear inverted pendulum, ZMP, support area
- **Optimal control:** LTI system, OC as a quadratic program
- **Balancing:** adjust ZMP based on a DCM of the CoM

Applies to humanoids, quadrupeds, wheeled bipeds, flywheeled coffee makers, etc.

That's all folks!



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